



## **NOTICE OF PRIVACY POLICY**

**March 31, 2023**

MASCAGNI WEALTH MANAGEMENT, a Registered Investment Adviser, is committed to safeguarding the confidential information of our clients. We hold all personal information provided to our firm in the strictest confidence. These records include all nonpublic personal information about you that is provided to us by you or obtained by us with your authorization in connection with any of the services provided by MASCAGNI WEALTH MANAGEMENT. We do not disclose information to nonaffiliated third parties, except as permitted by law, unless authorized by you verbally, electronically or in writing, and do not anticipate doing so in the future. If we were to anticipate such a change in firm policy, we would be prohibited under the law from doing so without advising you first. As you know, we use personal and financial information that you provide to us to assist you in attaining your personal financial goals while guarding against any real or perceived infringements of your rights of privacy. Our policy with respect to personal information about you is listed below.

- We limit employee access to information only to those who have a business or professional reason for knowing, and only to nonaffiliated parties as permitted by law. (For example, federal regulations may permit us to share your information with nonaffiliated parties in certain circumstances, such as processing your transactions, maintaining your account(s), or responding to court orders. We may also share information with your accountant or attorney upon your request.)
- We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk.
- The categories of nonpublic personal information that we collect from a client depend upon the scope of the client engagement. It may include information about your personal finances, your health to the extent that it is needed for the planning process, transactions between you and third parties, and information from consumer reporting agencies.
- For unaffiliated third parties that require access to your personal information, including financial service companies, consultants, and auditors, we also require strict confidentiality in our agreements with them and expect them to keep this information private. Federal and state regulators also may review firm records as permitted under law.
- We do not provide your personally identifiable information to mailing list vendors or solicitors.
- Personally identifiable information about you is maintained during the time you are a client, and for the time thereafter that such records are required to be maintained by federal and state securities laws, and consistent with the CFP Board Code of Ethics and Standards of Conduct. After this required period of record retention, all such information will be safely stored or destroyed upon request.

Please call if you have any questions, because your privacy, our professional ethics, and the ability to provide you with quality financial services is very important to us.

## OTHER HELPFUL INFORMATION

### To help remove your name from a direct mailing list and/or call lists, contact the following:

- Call 1-888-5OPTOUT (1-888-567-8688) to stop receiving unsolicited credit and insurance offers by mail. You can remove your name for five years or permanently.
- Register with the Federal Trade Commission's National Do-Not-Call Registry at [www.donotcall.gov](http://www.donotcall.gov) or by phone at 1-888-382-1222.
- Contact the Direct Marketing Association (DMA) to sign up for its mail-preference service. You may contact the Mail Preference Service of the DMA at P.O. Box 643, Carmel, NY 10512.
- Call toll-free numbers on any advertising or catalogs you receive through the mail and ask the company to take you off its mailing list.

### To report fraudulent use of your credit card, contact the following:

- Call the toll-free number typically listed on the back of each of your credit cards and report any lost or stolen cards, or report suspicious activity.
- Contact one or all of the following agencies:
  - Equifax at 1-800-525-6285
  - Trans Union at 1-800-680-7289
  - Experian at 1-888-397-3742